

ep 1646

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
10441Z

In Re Application Of: **Nicholas K. Hayward, et al.**

Serial No.
09/349,954

Filing Date
July 8, 1999

Examiner
unassigned

Group Art Unit
1646

Title: **A NOVEL GROWTH FACTOR AND A GENETIC SEQUENCE ENCODING SAME**



Address to:
Assistant Commissioner for Patents
Washington, D.C. 20231

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
2. a Notice of Allowance under 37 CFR 1.311,

whichever occurs first.

Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

OR

- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

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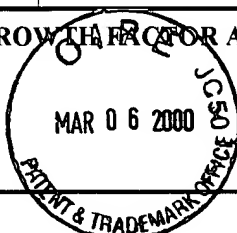
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Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 19-1013\SSMP as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

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I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. _____) on

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Typed or Printed Name of Person Signing Certificate _____

Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited on 2/29/00 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence _____

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*This certificate may only be used if paying by deposit account.


Signature

Dated: February 29, 2000

Frank S. DiGiglio
Registration No.: 31,346

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Garden City, New York 11530
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CC:



PATENTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Nicholas K. Hayward, et al. **Examiner:**

Serial No.: 09/349,954

Art Unit: 1646

Filed: July 8, 1999

Docket: 10441Z

For: A NOVEL GROWTH FACTOR AND A
GENETIC SEQUENCE ENCODING SAME

Date: February 29, 2000

Assistant Commissioner for Patents
Washington, DC 20231

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.97 and 1.98, it is requested that the following disclosures, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

U.S. Patent No. 5,607,918 issued March 4, 1997 to
Eriksson, et al

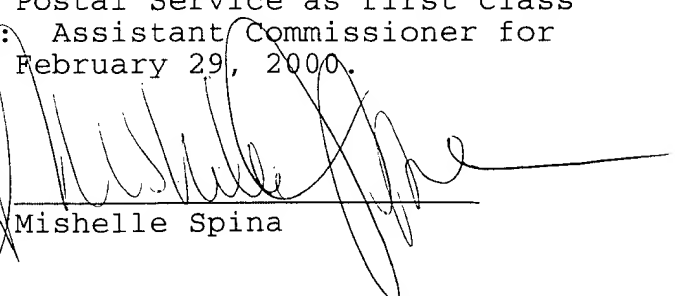
U.S. Patent No. 5,840,693 issued November 24, 1998 to
Eriksson, et al.

U.S. Patent No. 5,928,939 issued July 27, 1999 to
Eriksson, et al.

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231 on February 29, 2000.

Dated: February 29, 2000


Mishelle Spina

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Consideration of this Supplemental Information Disclosure Statement is respectfully requested, since the information provided herewith may be material to the examination of the present application as defined under 37 C.F.R. §1.56 (a).

Inasmuch as this Supplemental Information Disclosure Statement is being filed prior to the issuance of a first Official Action, no fee or certification is due.



Respectfully submitted,

Frank S. DiGiglio
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